



Growth, Wealth, Success

U. Y. Fincorp Limited
(Formerly Known as Golden Goenka Fincorp Limited)

Ref No. UYFL/042021/SE/10

Date: 28.04.2021

To,
BSE Limited
Phiroze Jeejeebhoy Tower,
Dalal Street
Mumbai - 400001
Company Code: 530579

To,
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700001
Company Code: 10017059

Dear Sir,


Sub: Compliance under Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In terms of the Captioned Subject, we are enclosing herewith Compliance Certificate under Clause 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from 01.10.2020 to 31.03.2021.

Kindly acknowledge the above.

Thanking you

Yours Faithfully,
For U. Y. Fincorp Limited


Amrita Mohta Kothari
(Company Secretary)



[Encl: As Above]



Certificate Under Regulation 40(9) of The SEBI (Listing Obligations & Disclosure Requirements), Regulations, 2015

For the Period Ended 31st March, 2021

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **U.Y. Fincorp Limited** (Previously Golden Goenka Fincorp Limited) ("**Company**") maintained by Niche Technologies Private Limited, at 3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata- 700017 the Registrar and Share Transfer Agent of the Company for the purpose of issuing a Certificate pursuant to Regulation 40 (9) of the SEBI (Listing Obligations & Disclosure Requirements), Regulations, 2015 and Uniform Listing Agreement entered into by **M/s. U.Y. Fincorp Limited** with the stock exchanges and based on the information provided by the Company, hereby certify that during the period ended on 31st March, 2021:

- A) The Company has received Nil No. of demat request for transfer of shares, Nil request for transmission of shares, Nil request were receive for rematerialization of Shares, Nil request were receive for Consolidation/Split/Duplicate/ replacement of Share Certificate(s);
- B) There was no request received by the Company for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period.

Date: 28.04.2021
Place: Kolkata
UDIN: A047506C000193124

For Prateek Kohli & Associates
Company Secretaries

SHRISTI Digitally signed
by SHRISTI GARG
GARG Date: 2021.04.27
18:23:52 +05'30'

(Shristi Garg)
Partner
C.P. No. 17447